

FinTech and RegTech

We assist our clients with a particular expertise in FinTech and RegTech for the development of their business models based on Artificial Intelligence (AI) and/or Blockchain. Our assistance includes counsel in legal, regulatory and tax matters, contract – drafting, strategy analysis and application for the necessary authorizations in Switzerland.

Team

Géraldine Badel Poitras

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Our main activities are as follows:

- Regulatory analysis of FinTech business models, in particular for Initial Coin Offering (ICO) or Security Token Offering (STO)
- Request to FINMA or Self-regulatory organizations (SRO) and contractual drafting
- Investor selection criteria and specifications for artificial intelligence (AI) processing of fundraising (KYC process)
- Legal and regulatory advice when investing in FinTech companies
- KYC software to fight against money laundering (AML)
- Data protection
- Request for authorisations or non-action letters from the Swiss Financial Market Supervisory Authority (FINMA)
- Drafting of contracts and internal documents (Directives, procedures, in line with a good corporate Governance including ESG/Environmental and Social)